



CHAIRMAN OF THE JOINT CHIEFS OF STAFF INSTRUCTION

J4
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CJCSI 4120.01A
4 September 2000

UNIFORM MATERIEL MOVEMENT AND ISSUE PRIORITY SYSTEM-- CJCS PROJECT CODES AND MATERIEL ALLOCATION POLICIES DURING CRISIS AND WAR

References: a. DOD 4140.1-R, 20 May 1998, "Materiel Management Regulation"
b. DOD 4000.25-1-M, 1 May 1987, "Military Standard Requisitioning and Issue Procedures (MILSTRIP)"
c. DOD Directive 5132.3, 10 March 1981, "DOD Policy and Responsibilities Relating to Security Assistance"
d. DOD 4140.25-M, 22 June 1994, "Management of Bulk Petroleum Products, Storage, and Distribution Facilities"
e. DOD 5105.38-M, 23 June 2000, "Security Assistance Management Manual"

1. Purpose. This instruction:

- a. Provides supplemental guidance on the assignment and use of CJCS project codes per references a and b.
- b. Establishes policies and procedures for allocation of critical defense materiel among US, allied, and friendly forces during periods of military crisis and war per references (c) through (e).
- c. Does not change any existing export control policies or directives.

2. Cancellation. CJCSI 4120.01, 24 January 1996, is canceled.

3. Applicability. This instruction applies to the Services, combatant commands, Defense agencies, and the Joint Staff. It also applies to all Federal departments and agencies that utilize the Uniform Materiel Movement and Issue Priority System (UMMIPS).

4. Policy

a. The Joint Materiel Priorities and Allocation Board (JMPAB) is the sole agency charged with performing duties for the Chairman of the Joint Chiefs of Staff, hereafter referred to as the Chairman, in matters that establish materiel priorities or allocate resources.

b. The Chairman, through the JMPAB, will establish, modify, or recommend policies for allocating materiel assets in the DOD system when competing requirements among DOD components cannot be resolved by the DOD components.

c. The JMPAB will promulgate CJCS project codes as required in the context of deliberate and crisis planning as part of logistics guidance contained in CJCS Execute Orders and other suitable tasking directives.

5. Responsibilities

a. The JMPAB will:

(1) Supervise the overall implementation of SecDef guidance on the assignment and use of CJCS project codes.

(2) Be the primary adviser to or action agency of the Chairman for materiel resource allocation matters.

b. The Services and combatant commands will:

(1) Ensure widest dissemination, within security constraints, of pertinent information concerning a project code's activation, its purpose, and the effective dates and authorized users of the code.

(2) Expeditiously inform the JMPAB when allocation resolution of defense materiel is required.

6. Releasability. This instruction is approved for public release; distribution is unlimited. DOD components (to include the combatant commands), other Federal agencies, and the public may obtain copies of this instruction through the Internet from the CJCS Directives Home Page--<http://www.dtic.mil/doctrine>. Copies are also available through the Government Printing Office on the Joint Electronic Library CD-ROM.

7. Effective Date. This instruction is effective upon receipt.

For the Chairman of the Joint Chiefs of Staff:

A handwritten signature in black ink, appearing to read 'S. A. Fry', is positioned above the typed name.

S. A. FRY
Vice Admiral, U.S. Navy
Director, Joint Staff

Enclosures:

- A - CJCS Project Codes
- B - Joint Staff Materiel Allocation Policies and Procedural Guidelines
for International Logistic Matters During Crisis and War

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ENCLOSURE A

CJCS PROJECT CODES

1. Reference b defines various project codes and provides procedures for their use. CJCS project codes, unlike other project codes, provide precedence for requisition processing and supply decisions. For processing purposes, requisitions with a CJCS project code will be ranked above all other requisitions with the same priority designator.

2. CJCS project codes are distinguished from other project codes by the fact that they are three-character codes commencing with a number 9. While the project code series "9-numeric" is reserved for assignment by OSD, there are three different types of CJCS project codes and one JMPAB project code series that may be assigned. A description of these codes follows:

a. CJCS Project Code Series "9-numeric-alpha." Identifies allocation, at the level of the Chairman, of significant materiel or equipment items that are on hand or are becoming available for issue when resources are not sufficient to meet all requirements. This series of project codes is used for scarce resource allocation.

b. CJCS Project Code Series "9-alpha-numeric." Identifies the establishment and subsequent release, at the level of the Chairman, of emergency, reserve, or specified-purpose stocks that are on hand or are becoming available. This series of project codes is used as authority to release resources that are being held for contingencies, i.e., safety stocks and war reserve.

c. CJCS Project Code Series "9-alpha-alpha." Identifies a project, operation, program, force, or activity sanctioned by the Chairman that requires heightened logistic infrastructure visibility and support.

d. Project Code Series "3-juliet-alpha." Identifies a unique military project or operation when a CJCS project code is warranted but normal materiel allocation is to remain unaffected. The Military Standard Requisitioning and Issue Priority System (MILSTRIP) administrator at the Defense Logistics Management Standards Office (DLMSO) reserved a series of 26 category C project codes for JMPAB use.

3. Rigid and limiting control will be exercised over the assignment and use of CJCS project codes so that they do not become mere escalations of normal priority designators. CJCS project codes will be

assigned only for purposes clearly of direct interest to the Chairman. Authorization for use of a CJCS project code will be for a specified period of time. If the expiration date is not known at the time of a project code's assignment, a date not exceeding 1 year after the code's effective date will be assigned. The force/activity designator (FAD) to be used in conjunction with CJCS project codes will be designated.

4. The JMPAB will promulgate CJCS project codes as required in the context of deliberate and crisis planning as part of logistics guidance contained in the CJCS Execute Orders and other suitable tasking directives.

5. Requests for assignment of CJCS project codes will be submitted to the Joint Staff J4/Logistics Readiness Center (LRC) who, in turn, will submit the request to the JMPAB, an agency of the Chairman, through the appropriate office listed below:

- a. Service headquarters.
- b. Combatant command headquarters.
- c. The Joint Staff.
- d. OSD.

6. Requests will include complete justification of the critical nature of the requirement and substantive resource shortfalls and conflicts. The Appendix lists the information required in requests for CJCS project codes. Appendix information applies not only to original requests for CJCS project codes, but also to any requests to extend expiration dates for project codes in force.

7. Under the following conditions, the JMPAB Chairman will assign a CJCS project code without specific pre-approval of the JMPAB:

- a. The Chairman or Secretary of Defense has approved a warning, alert, or execution order.
- b. Assignment of a CJCS project code is deemed appropriate in a timeframe or under security conditions that preclude pre-approval of the JMPAB members.

8. Upon assignment of a CJCS project code by the JMPAB, the Director, Defense Logistics Management Standards Office (DLMSO), will disseminate implementing instructions to all concerned.

9. Project codes will be given widest dissemination, within security constraints, of pertinent information concerning its activation, its purpose, the effective dates of the code, and the authorized users of the code. The Service or the combatant commander's coordinator identified in the initial request for assignment of a CJCS project code (see Appendix) is responsible for ensuring end users are kept informed. The J4/LRC will keep the DOD logistics infrastructure informed.

10. CJCS project codes will remain valid until requisitions are filled or cancellation requests are submitted by the activities originating the requisitions. CJCS project-coded requisitions received into the supply system after the project codes have been terminated will be processed under the MILSTRIP as category A project-coded requisitions without any inter-Service or interagency recognition. No mass cancellations of CJCS project-coded requisitions are authorized without prior approval of the JMPAB. If questions arise concerning the need to continue processing specific CJCS project-coded requisitions, then normal MILSTRIP requisition validation procedures apply.

11. End users of CJCS project codes will ensure valid requisitions are entered into the supply system within the published effective dates of these codes. The J4/LRC will issue a quarterly message listing all valid CJCS project codes with their effective dates. The J4/LRC will also maintain an unclassified historical index of active and inactive CJCS project codes and may be contacted concerning a specific project code's effective dates.

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APPENDIX A TO ENCLOSURE A
INFORMATION REQUIRED IN CJCS PROJECT CODE ASSIGNMENT
REQUESTS

1. Requests for CJCS project codes will include the following information:
 - a. The type of CJCS project code required, as defined in paragraph two of Enclosure A.
 - b. Project name.
 - c. Service or combatant command.
 - d. Proposed effective date.
 - e. Proposed termination date.
 - f. FAD.
 - g. Classes of materiel involved.
 - h. Brief narrative background on the nature of the requirement.
 - i. Justification of the need for a CJCS project code, to include:
 - (1) Resource conflicts and shortfalls.
 - (2) Types of materiel involved.
 - (3) Probable impact and/or schedule slippages if a project code is not assigned.
 - (4) Production delays or backlogs, if appropriate.
2. Emergency requests to assign CJCS project codes for use in crisis situations or unscheduled critical operations may be submitted with only the specific information designated above in subparagraphs 1a through 1h. When available, units, forces, and activities that will use the project code should also be included with the information provided in subparagraph 1i.

3. Message requests for assignment of CJCS project codes should be addressed to: JOINT STAFF WASHINGTON DC//J4-LRC//.

ENCLOSURE B

JOINT STAFF MATERIEL ALLOCATION POLICIES AND PROCEDURAL
GUIDELINES FOR INTERNATIONAL LOGISTIC MATTERS DURING
CRISIS AND WAR

1. DOD planners anticipate worldwide shortfalls of some critical defense materiel. Allocation or reallocation of limited quantities of materiel will be necessary to satisfy high-priority operational requirements. Sources of materiel incorporated under this policy include, but are not limited to: (a) US force operational resources; (b) pre-positioned US war reserve stocks; (c) industrial production for US forces; (d) materiel in the security assistance pipeline; and (e) items in production or awaiting delivery to satisfy commercial export munitions license transactions.
2. These policies and procedural guidelines apply to the Services, combatant commands, the Coast Guard, the Joint staff, and the Joint Chiefs of Staff and pertain to allocation of critical defense materiel that may be: (a) in production for either US Armed Forces or security assistance customers; (b) in existing US stocks or Foreign Military Sales (FMS) pipelines; (c) acquired offshore through co-production, loan, lease, or buy-back agreements; or (d) in production or stock to satisfy commercial export munitions license transactions.
3. Procedures for the allocation of petroleum products are contained in reference d.
4. Security assistance applies to those programs authorized under the provisions of the Foreign Assistance Act of 1961, as amended, and the Arms Export Control Act of 1976, as amended, or other related statutes by which the United States provides defense articles and defense-related services in furtherance of national policies and objectives. Security assistance programs are executed through grant military assistance transfers, credit, or cash sales.
5. Under the direction of the President, the Secretary of State is responsible for establishing policy and supervising the overall direction of sales, leases, and exports consistent with national objectives and foreign policy goals.
6. The Secretary of Defense is responsible for:
 - a. Developing DOD security assistance policy and implementing the security assistance program per FAA, section 623, and Executive Order 11958.

b. Establishing priorities in the procurement, delivery, and allocation of military equipment and services.

c. Determining military requirements.

d. Procuring military equipment and providing services in a manner that permits integration with other DOD programs.

e. Supervising the training of foreign military personnel.

f. Performing all other functions with respect to defense sales and guarantees.

7. Munitions License Sales are direct commercial sales transactions between US commercial interests and foreign governments or commercial entities for the sale of defense articles and services governed and approved under provisions of the International Traffic in Arms Regulation.

8. Security assistance peacetime procedures, as established by law and implemented by reference e, will remain in effect during a crisis buildup, crisis, or war until changed by executive order, legislation, or implementing regulation.

9. When a situation advances to a point where the political leadership determines that military options have a greater probability to advance or sustain national interests than other options, the authority to allocate and distribute security assistance assets may pass from the Secretary of Defense to the Chairman. Upon delegation of this authority to the Chairman, the Director for Logistics (J-4), Joint Staff, will be the staff agency responsible for security assistance actions related to logistic management. When legal authority is granted to the Department of Defense and delegated to the Chairman to gain control over equipment being manufactured for direct commercial sales (munitions license sales), the procedures outlined herein apply.

10. The JMPAB will be the primary adviser to or action agency of the Chairman for materiel resource allocation matters.

11. The Services retain responsibility for (a) wholesale logistics support of all forces where the individual Service is the DOD integrated materiel manager and (b) retail logistics support for Service forces.

12. Subject to CJCS materiel resource allocation, the CINCs will assume directive authority over component stocks within their area of responsibility.

13. The procedures, upon establishment of the Joint Staff Crisis Action Team, include:

a. The Joint Staff, J-4, on behalf of the JMPAB, will establish liaison with the Defense Security Cooperation Agency (DSCA). In the case of security assistance goods, DSCA will establish liaison with the Department of State (DOS), Office of Arms Transfer and Export Control. The purpose of these actions is to gain current knowledge of security assistance programs in order to recommend possible reallocation of assets per the needs of the CINCs. DSCA will direct all security assistance activities by message, to include the Joint Staff, J-4, as an information addressee on selected requests for accelerated delivery of security assistance assets and on new requests for security assistance materiel. The Joint Staff, J-4, will request the Director, Defense Technology Security Administration, coordinate with DOS, Office of Defense Trade Controls, to obtain data on any new munitions licenses or modifications to existing munitions licenses. Combatant commands, DSCA, Services, and other DOD components will also include the Joint Staff, J-4, as an information addressee on all messages discussing allocation of security assistance assets. The Joint Staff, J-4, will inform other JMPAB principals of all actions involving security assistance logistics matters and notify all concerned when the Secretary of Defense delegates authority for allocation of security assistance assets to the Chairman.

b. The CINCs will identify and validate all regional security assistance materiel requirements for Major Defense Equipment (MDE) in order to consolidate and prioritize requirements within their area of responsibility. The Military Services and DSCA will not process requests for MDE without coordination with the appropriate CINC.

c. If possible, differences in requirements for the allocation of assets will be resolved between the CINC and the respective Service or DOD component or directly among the Services and DOD components to support command requirements.

d. If a command's requirement cannot be met because of high demand for defense items for security assistance purposes, the Service or DOD component identified as the Integrated Materiel Manager (IMM) for the materiel in question will inform the affected CINC of the necessity for JMPAB action and will expeditiously present the issue for deliberation and adjudication by the JMPAB. The CINC will provide an operational impact statement to support the command's position on the allocation decision.

e. Upon request from the Joint Staff, J-4 (acting on behalf of the JMPAB), DSCA will provide the following data for items requiring deliberation and adjudication by the JMPAB:

(1) Current and projected order quantities of the items, countries of ultimate destination, applicable FMS case number, and estimated delivery dates.

(2) Residual uncommitted quantities of Special Defense Acquisition Fund items available.

14. Request for JMPAB action

a. The Service or DOD component with IMM responsibility for the material requirement will address a request for JMPAB deliberation and adjudication by message or memorandum to the Joint Staff, J-4/LRC, with information copies to other Services, combatant commands, DSCA, and DLA.

b. Service requests will include the following:

(1) Items by nomenclature and applicable National Stock Number (NSN), with quantities and required delivery dates.

(2) Countries to which materiel is scheduled to be delivered and relative priority of requested items (as assigned by the CINC).

(3) Operational impact on command (as provided by the CINC).

(4) Current and projected US production capacity for items in question.

(5) Potential out-of-CONUS sources of supply.

(6) Potential substitute items.

(7) Impact if materiel is diverted from US stocks.

15. JMPAB Action

a. The JMPAB Secretariat will develop a recommended position for inclusion in the decision package and will place the matter before the JMPAB. The approved JMPAB position will be forwarded to the combatant commands and Services by memorandum or message in the name of the Chairman. If the approved position is for reallocation, the Joint Staff memorandum or message will provide DSCA, the military

Services, and DLA with instructions and procedures concerning rescheduling deliveries of security assistance materiel among security assistance customers and/or between US forces and security assistance customers. A memorandum or message will be prepared and forwarded to the Secretary of Defense with appropriate recommendation for matters requiring decisions from higher authority.

b. The JMPAB Secretary will prepare a report for the consideration of the Chairman when there is a divergence of views among the military Services that cannot be resolved by the JMPAB.

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